



InteractiveBrokers

Interactive Brokers Central Europe Zrt.

ANNEXES to the Main Agreement

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Annex No.1 Identification forms

1.1 Natural person clients

Natural person client's data	
First name and family name, prefix:	
First name and family name at birth:	
Gender:	
Citizenship:	
Address:	
Place of residence:	
Postal address:	
Place of birth (country, town):	
Date of birth:	
Mother's maiden first and family name:	
Number and type of identification document, issuing country:	
Number of the Official Address Card:	
Tax identification code:	
Phone number:	
E-mail address:	
Occupation:	
Economic sector:	
Source of liquid assets:	
Investor protection rating:	
Bank account number:	

1.2 Legal entity clients

Details of legal entity and individual entrepreneur clients	
Full name (indicating the company form):	
Official abbreviated name:	
Registered office:	
Company based in Hungary:	
Postal address:	
Core activities:	
Tax number:	
Company registration number/decision number, registration number:	
Name and code of the issuing country:	
Country of company registration:	
Date of company registration:	
Phone number:	
E-mail address:	
Investor protection rating:	
Bank account number:	
Name of the authorised representative:	
Position of the authorised representative:	
Details of the delivery agent (name, address, document number):	

1.3. Authorised related persons

Details of a natural person proxy as a related person	
First name and family name, prefix:	
First name and family name at birth:	
Gender:	
Citizenship:	
Address:	
Place of residence:	
Place of birth (country, town):	
Date of birth:	
Mother's maiden first and family name:	
Number and type of identification document, issuing country:	
Number of the Official Address Card:	
Tax identification code:	
Phone number:	
E-mail address:	
Name, number of related client:	
Type of relationship:	
Effect of the relationship:	

1.4. Related persons authorised for representation

Details of a natural person representative as a related person	
First name and family name, prefix:	
First name and family name at birth:	
Gender:	
Citizenship:	
Address:	
Place of residence:	
Place of birth (country, town):	
Date of birth:	
Mother's maiden first and family name	
Number and type of identification document, issuing country	
Number of the Official Address Card:	
Tax identification code:	
Phone number:	
E-mail address:	
Name, number of related client:	
Type of relationship:	
Effect of the relationship:	

1.5. Beneficial owner related persons (applicable to organisations only)

Details of natural person beneficial owner as related person	
First name and family name, prefix:	
First name and family name at birth:	
Gender:	
Citizenship:	
Address:	
Place of residence:	
Place of birth (country, town):	
Date of birth:	
Number and type of identification document, issuing country	
Number of the Official Address Card:	
Tax identification code:	
Phone number:	
E-mail address:	
Name, number of related client:	
Type of relationship and	
Nature of ownership interest:	
Ratio of ownership interest:	

Annex No. 2 Information on the form of notifications

INFORMATION ON THE FORM OF NOTIFICATIONS

1. Notification data

Notification address of the Company:	Interactive Brokers Central Europe Zrt. address: 1075 Budapest, Madách Imre út 13–14. Phone: +36 80 088 400 E-mail address: ibce@interactivebrokers.com
Client's notification address: Name: Address: Phone number: Mobile phone: Email address:	As recorded in the Client Profile Details

The Parties may submit their legal notices to the notification address provided in this Annex. In case there is any change in the notification address, the Parties shall notify each other. If they fail to do so, legal declarations sent to the notification address electronically or by post shall be deemed to have been delivered regardless of the fact of acceptance.

2. Notification on transactions executed on the Account

The Company sends an official certificate of completion (together: notification) on the transactions executed on the Account by the Client on the IB Account Interface.

The Company also sends a certificate of completion for all executed transactions on the IB Account Interface.

The Company shall send the notifications immediately after the execution of the order, but no later than on the trading day following the execution date of the order or, if the order was executed by the investment firm with the assistance of a third party, on the working day following receipt of the third party's certificate.

The Company's clients are obliged to have a valid, available e-mail address notified to the Company (such e-mail address must be provided to the Company as a notification address) to which the notifications may be sent by the Company. The Client hereby expressly acknowledges that in the absence of a valid, available e-mail address, the Client's right to trade may be restricted by the Company at any time.

3. Confirmation on transactions executed on the Account

At the end of each day, the Company makes available a confirmation of the securities and cash transactions performed on the account on the IB Account Interface (hereinafter referred to as **confirmation**), the uploading of which the Clients are informed of in a system message.

Confirmations may be requested by post, a separate fee of which is included in the Terms and Conditions.

4. Monthly Report

Pursuant to Section 69/A of the Investment Firms Act, the Company prepares a monthly report for its clients on the balance of financial assets and funds owned or due to the Client, the part of the balance that has been the subject of a securities financing transaction and the result, in addition to the basis for calculating the result, that it has realized on the financial asset or cash that is the subject of the securities financing transaction (hereinafter referred to as **Monthly Report**). In each case, the Monthly Report also contains the password required to access the <https://eszlweb.mnb.hu/Lekerdezo> website operated by the MNB.

The Monthly Report is made available by the Company to its clients on the IB Account Interface.

The Monthly Report may be requested by post, as a standard consignment, to the notification address provided by the Client, the separate fee of which is included in the Terms and Conditions.

The Monthly Report also serves as a monthly statement.

5. Account statement

The Company makes available to its Clients annually, on the account, a statement containing the credits and debits of money, securities and financial assets and the balance at the end of the reporting period (hereinafter referred to as **Account Statement**) on the IB Account Interface.

The Account Statements may be requested by post, as a standard consignment, to the notification address provided by the Client, the separate fee of which is included in the Terms and Conditions.

For a separate fee, the client may request the sending of a quarterly statement or a statement summarizing another specific period, the fee of which is also included in the Terms and Conditions.

6. The general way of communication between the Client and the Company

By signing this information, the Client chooses to communicate electronically between the Company and the Client as a general method of communication, which contact method they may change unilaterally at any time via the IB Account Interface.

Annex No. 3 Preliminary information

The Preliminary Information is available on the Company's website.

Annex No. 4 MiFID declaration of consent

The Client declares and considers the following to be binding on the Client, which also form

part of the Company's terms of service:

- 1.** The Client has been informed of and accepts the Company's Execution Policy for client orders and is also aware of the contents of the Preliminary Information and expressly accept its contents. Further agrees that the provisions of the General Business Rules shall govern the amendment and acceptance of these documents.
- 2.** The Client consents to the Company being able to execute Client's orders outside the trading venue in accordance with its current Execution Policy.
- 3.** The Client agrees that if the Client places a limit price order with the Company in respect of shares traded on a regulated market and this order will not be executed immediately due to applicable market conditions, then the Company may, in its sole discretion, decide whether to publish the order in a manner that is readily available to other market participants.
- 4.** The Client expressly consents to the Company providing the Client with the information addressed to the Client on other durable media instead of on paper, in particular by e-mail, via the IB Account Interface or by means of a recorded telephone call in the awareness that it would otherwise be possible to opt for paper-based information. The Client consent also extends to the Company sending the Client the Key Information Document (KID) on a durable medium.
- 5.** The Client expressly consents to the Company fulfilling the information and providing the, through the website www.interactivebrokers.hu for which this is permitted by law and which information notice (if required) should be sent to the Client's e-mail address provided to the Company. This declaration also covers the fact that the Company informs about the Key Information Documents (KID) through its website, as well as about the Company's investment services and ancillary services, and other relevant information on specific financial asset (via Product Catalogue, Product Sheets or other documents).
- 6.** The Client is aware that the Client may defer the conclusion of any given transaction and expressly consents to the Company forwarding to the Client the key information documents (KID) for the given product only after the conclusion of the transaction, if the order is made by means of telecommunication (e.g. a recorded telephone call).

Annex No. 5 MiFID test

INFORMATION ON APPROPRIATENESS TEST

For private individuals and organisations

We draw the attention of our Client that the completion of the questionnaire is required by Section 45 of Investment Firms Act and in accordance with the detailed rules laid down in Articles 55–56 of Commission Delegated Regulation (EU) 2017/565.

The purpose of the questionnaire is for the Company to assess your financial knowledge. You can request a re-completion of the questionnaire at any time in the future, if you consider that there is any change in your financial knowledge that may affect the outcome of the completion of the questionnaire.

We would like to inform you that when completing the test, the Company's information system performs an automatic inconsistency check and draws your attention to the detected inconsistencies in all cases.

If the Client does not provide sufficient information to obtain the “appropriate” rating, the Company reserves the right to refuse to enter into a contract with the Client.

After evaluating the test, if for any reason the Client does not agree, the Client has the right to initiate reclassification to a lower category in writing.